

## Phase separation in doped systems with spin-state transitions

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Spin-state transitions, observed in many transition-metal compounds containing  $\text{Co}^{3+}$  and  $\text{Fe}^{2+}$ , may occur with the change in temperature and pressure but also with doping, in which case the competition of single-site effects and kinetic energy of doped carriers can favor a change in the spin state. We consider this situation in a simple model, formally resembling that used for manganites in Kugel, Rakhmanov, and Sboychakov, *Phys. Rev. Lett.* **95**, 267210 (2005). Based on such a model, we predict the possibility of a jumplike change in the number of  $\text{Co}^{3+}$  ions undergoing spin-state transition caused by hole doping. A tendency to the electronic phase separation within a wide doping range is demonstrated. Phase diagrams with the regions of phase separation are constructed at different values of the characteristic parameters of the model.

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### I. INTRODUCTION

Interplay of different degrees of freedom and different types of ordering is a very important ingredient in determining the properties of strongly correlated electron systems. These effects become especially interesting in doped systems. For this case, the tendency to phase separation and formation of inhomogeneous states is rather typical. It can take different forms: formation of isolated polarons or small clusters (modification of a particular ordering by doped charge carriers and the trapping of charge carriers in a distorted region) or particular textures, e.g., stripes. This phase separation can play a very important role in many phenomena, such as colossal magnetoresistance in manganites<sup>1</sup> and probably also in high- $T_c$  superconductors—although their role in the latter is still a matter of hot debate.

The most common and best known case is the doping of antiferromagnetic insulators, with the formation of ferromagnetic droplets (“ferrons”) or charged antiferromagnetic domain walls (stripes).<sup>1–3</sup> We have recently shown that the interplay of kinetic energy of doped holes with the orbital structure can similarly give rise to a novel mechanism of phase separation.<sup>4,5</sup>

A special interesting group of phenomena is met in systems where the respective ions can exist in different multiplet states. Typical examples are the compounds containing  $\text{Co}^{3+}$  (or sometimes  $\text{Fe}^{2+}$ ), which can exist in a low-spin (LS) state with  $S=0$  ( $t_{2g}^6$ ), intermediate-spin (IS) state  $S=1$  ( $t_{2g}^5 e_g^1$ ), and high-spin (HS) state ( $t_{2g}^4 e_g^2$ ) with  $S=2$ , see, e.g., Ref. 6. Close proximity in energy of these states can lead to a special type of transition (or crossover): spin-state transition (SST), typical example being  $\text{LaCoO}_3$ .<sup>6–9</sup> Also a spin-state ordering is possible.<sup>10,11</sup> Thus, these systems, in addition to quite common charge, orbital, and spin degrees of freedom with the possibility of respective orderings, have an “extra dimension:” the possibility of spin-state (or, in other words, multiplet) transitions. Correspondingly, if doping of materials, such as manganites, can cause phase separation due to an interplay of the motion (kinetic energy) of doped holes with

the underlying magnetic and orbital structure, in systems with SST—like cobaltites, one can expect similar phenomena due to an interplay with the spin state of the matrix. The common mechanisms causing the phase separation manifest themselves in the situation when the particular ordering existing in the system hinders the motion of doped holes. In these cases, it may be favorable to locally modify the type of ordering, facilitating the motion of the hole in such a distorted region. Thus, holes can hardly move on an antiferromagnetic background, which was noticed already long ago both for the two-band (double exchange) model<sup>12,13</sup> and for the single-band (Hubbard) model.<sup>14,15</sup> At the same time, a hole moves freely on the ferromagnetic background. As a result, ferromagnetic polarons (ferrons) may be formed close to the hole: the gain in kinetic energy of the hole moving on the ferromagnetic background exceeds the loss of the magnetic energy.<sup>15–17</sup>

Similarly, certain types of orbital ordering suppress hole motion, and it may be favorable to modify orbital pattern close to a hole, forming an orbital polaron and facilitating motion of a hole within it.<sup>4,18,19</sup> For systems with SST, such a role can be played, for example, by the phenomenon of spin blockade:<sup>20</sup> if one dopes the material with the  $\text{Co}^{3+}$  in a low-spin state ( $S=0$ ) by electrons, the created ionic state could be  $\text{Co}^{2+}$  in a high-spin state ( $S=3/2$ ). In this case, it is evident that it is not possible to interchange the states LS  $\text{Co}^{3+}$  and HS  $\text{Co}^{2+}$  by moving only one electron: one would end up in the “wrong” states  $\text{Co}^{3+}$  and  $\text{Co}^{2+}$  both in IS states, not in the original states (the hopping of an electron can change the spin of corresponding states only by  $\pm 1/2$ , whereas the spins of the original states differ by  $3/2$ ). As a consequence, an extra electron can only move in a crystal leaving the trace of wrong spin states, which will lead to a confinement and localization of this electron, similar to the case of the usual Hubbard model.<sup>15</sup>

One can “repair” this by modifying the spin state in the vicinity of a charge carrier (electron or hole) and this will finally lead again to a creation of inhomogeneous states and to phase separation. This phenomenon was actually observed in some cobaltites, e.g., in  $\text{La}_{1-x}\text{Sr}_x\text{CoO}_3$ . There are already

many indications of phase separation and formation of inhomogeneous states in this system<sup>21–25</sup> but probably the most clear evidence comes from the study of very low-doped LaCoO<sub>3</sub>. Magnetic measurements<sup>7</sup> have shown that at very low doping (<1% of Sr) the moment per doped hole (per Sr) is much bigger than that of thus created LS Co<sup>4+</sup> with  $S=1/2$ : instead there appear magnetic impurities with unusually large spin  $S=5–10$ , which signals that each hole is “dressed” by the magnetic cloud due to the promotion of some of neighboring Co<sup>3+</sup> ions to a magnetic state. The magnetic neutron scattering, ESR, and NMR studies of such system<sup>26</sup> even allowed to determine the size and shape of such magnetic clusters formed around doped holes.

It is possible to use different approaches to describe theoretically the phenomenon of phase separation. One such method is the direct numerical investigation.<sup>1</sup> Or one can assume the formation of spin-state polarons, calculate their energy and check whether and at which conditions the formation of such polarons can be energetically favorable. But the most direct way, by which one usually starts, is first to assume the existence of a homogeneous state and to check for its stability against phase separation. This was the route taken earlier by us for the double exchange model,<sup>27</sup> for the situation close to charge ordering,<sup>28</sup> for two-component model of manganites,<sup>29,30</sup> or for orbital ordering.<sup>4</sup> If the homogeneous state turns out to be unstable, then at the second step one can investigate particular types of inhomogeneous states, which can be formed. In the present paper, we follow this route for the doped systems with SST.

## II. SPIN STATES OF COBALT IONS

Let us list the possible spin states of Co<sup>3+</sup> and Co<sup>4+</sup> ions in a CoO<sub>6</sub> octahedron, which is the main building block of perovskitelike Co-based compounds (we will consider below the hole-doped cobaltites, nominally containing Co<sup>3+</sup> and Co<sup>4+</sup>). The electron configuration of Co<sup>3+</sup> ion is  $3d^6$ . It is well known that in the crystal field of cubic symmetry, a  $d$  level with the fivefold orbital degeneracy is split into a doubly degenerate  $e_g$  level and a triply degenerate  $t_{2g}$  level. In the octahedral coordination, the  $t_{2g}$  level lies below the  $e_g$  level. So, a Co<sup>3+</sup> ion can have three low-energy spin states: LS, IS, and HS states.

In the LS state ( $S=0$ ), all  $t_{2g}$  states are occupied and the  $e_g$  levels are empty. In the IS state ( $S=1$ ), there are five electrons at the  $t_{2g}$  level and one  $e_g$  electron. In the HS state ( $S=2$ ), we have four  $t_{2g}$  and two  $e_g$  electrons. The corresponding energies of these states are  $E_{LS}^{(3+)}=E_0$ ,  $E_{IS}^{(3+)}=E_0+\Delta-J_H$ , and  $E_{HS}^{(3+)}=E_0+2\Delta-4J_H$ , where  $\Delta$  is the energy splitting between  $t_{2g}$  and  $e_g$  levels, and  $J_H$  is the Hund’s rule coupling constant. For the Co<sup>4+</sup> ion ( $3d^5$ ), there are three similar low-lying spin states, corresponding to different distributions of five electrons between  $t_{2g}$  and  $e_g$  levels. In the LS state ( $S=1/2$ ), there are five electrons at the  $t_{2g}$  level and no  $e_g$  electrons. In the IS state ( $S=3/2$ ), we have four  $t_{2g}$  electrons and one  $e_g$  electron. In the HS state ( $S=5/2$ ), the numbers of  $t_{2g}$  and  $e_g$  electrons are equal to three and two, respectively. The corresponding energies of these states for the Co<sup>4+</sup> ion are  $E_{LS}^{(4+)}=E_1$ ,  $E_{IS}^{(4+)}=E_1+\Delta-2J_H$ , and  $E_{HS}^{(4+)}=E_1+2\Delta-6J_H$ .

TABLE I. (Color online) Possible electron configurations of Co ions and their energies.

| <br>$\text{Co}_{\text{LS}}^{3+}$ $S=0$<br>$E_{\text{LS}}^{(3+)} = E_0$                    | <br>$\text{Co}_{\text{LS}}^{4+}$ $S=1/2$<br>$E_{\text{LS}}^{(4+)} = E_1$                    |
|---|---|
| <br>$\text{Co}_{\text{IS}}^{3+}$ $S=1$<br>$E_{\text{IS}}^{(3+)} = E_0 + (\Delta - J_H)$   | <br>$\text{Co}_{\text{IS}}^{4+}$ $S=3/2$<br>$E_{\text{IS}}^{(4+)} = E_1 + (\Delta - 2J_H)$  |
| <br>$\text{Co}_{\text{HS}}^{3+}$ $S=2$<br>$E_{\text{HS}}^{(3+)} = E_0 + 2(\Delta - 2J_H)$ | <br>$\text{Co}_{\text{HS}}^{4+}$ $S=5/2$<br>$E_{\text{HS}}^{(4+)} = E_1 + 2(\Delta - 3J_H)$ |

Here, we introduced  $E_0$  and  $E_1$  as some reference energy values for Co<sup>3+</sup> and Co<sup>4+</sup>, respectively. As we shall demonstrate below, the results do not depend much on the specific choice of  $E_0$  and  $E_1$ . All aforementioned configurations of Co ions and their energies are summarized in Table I.

The type of the ground state for a separate Co<sup>3+</sup> or Co<sup>4+</sup> ion depends on the relationship between  $\Delta$  and  $J_H$ . It can be easily seen that at  $\Delta > 3J_H$ , the LS is the ground state both for Co<sup>3+</sup> and Co<sup>4+</sup>. At  $2J_H < \Delta < 3J_H$ , Co<sup>3+</sup> still has the LS ground state, whereas for Co<sup>4+</sup> the HS is more favorable. Eventually, at  $\Delta < 2J_H$ , the HS state is the lowest in energy for both ions. Hence, for isolated cobalt ions, the IS ground state does not appear.

The situation becomes more complicated if there exists electron hopping between cobalt ions. First, note that the hopping integrals between the  $t_{2g}$  states in cobaltites are as a rule much smaller than for the  $e_g$  states. In the treatment below, we ignore the  $t_{2g}-t_{2g}$  hopping and take into account only the hopping of  $e_g$  electrons. The inclusion of  $t_{2g}-t_{2g}$  hoppings will not modify qualitative results, introducing only minor numerical changes. Second, the states with the number of electrons per ion larger than six are unfavorable due to the strong on-site Coulomb repulsion. Third, the transitions of electrons between the lattice sites corresponding to the changes of spin by more than one half are strongly suppressed since they involve the simultaneous change in the state for two or more electrons.

As a result, in doped cobaltites there remain only two most probable hopping processes: (i) the transitions of electrons between the IS Co<sup>3+</sup> and LS Co<sup>4+</sup> and (ii) transitions between the HS Co<sup>3+</sup> and IS Co<sup>4+</sup>. The corresponding configurations are illustrated in Table I. Thus, to facilitate the kinetic-energy gain due to the electron hopping, one can create a ground state with intermediate spins. Such a situation can arise if in the ground state for isolated ions, we have either LS Co<sup>4+</sup> or HS Co<sup>3+</sup>. The former case corresponds to  $\Delta > 3J_H$  when some of LS Co<sup>3+</sup> can be promoted to the IS state. In the latter case corresponding to  $\Delta < 2J_H$ , some HS Co<sup>4+</sup> are promoted to the IS state. In the intermediate situa-

tion,  $2J_H < \Delta < 3J_H$ , the electron hopping can occur if we promote both ions,  $\text{Co}^{3+}$  and  $\text{Co}^{4+}$ , to some excited states. Such double excitations seem to be less probable. Below, we first discuss the most realistic case  $\Delta > 3J_H$  at different doping levels with a special emphasis on the possibility of phase separation. Then, we perform the similar study for  $\Delta < 2J_H$ . After that, we construct the phase diagram of the system in  $\Delta/J_H$ -doping plane.

Actually,  $\Delta$ , or rather  $\Delta/t$ , regularly depends on the rare-earth radius  $r_A$  in the series of  $R\text{CoO}_3$  perovskites and increases with decreasing  $r_A$ .

### III. ELECTRON DELOCALIZATION AND SPIN-STATE TRANSITIONS: THE CASE OF LS-LS GROUND STATE FOR ISOLATED IONS

Let us first discuss the situation corresponding to doped perovskite cobaltites, where  $\text{Co}^{3+}$  and  $\text{Co}^{4+}$  ions occupy the sites of a simple-cubic lattice. The relative number of  $\text{Co}^{4+}$  and  $\text{Co}^{3+}$  is, respectively,  $x$  and  $1-x$ . Let us assume that in the absence of electron hopping, cobalt ions of both types are in the LS state ( $\Delta > 3J_H$ ); this is a typical situation, e.g., in the hole-doped  $\text{La}_{1-x}\text{Sr}_x\text{CoO}_3$ , and even more so for smaller rare earths  $R$  in doped  $R\text{CoO}_3$ .<sup>31</sup> By promoting some  $\text{Co}^{3+}$  ions to the IS state, we can have a gain in kinetic energy related to the electron hopping from IS  $\text{Co}^{3+}$  to LS  $\text{Co}^{4+}$ .

To treat this situation in more detail, let us introduce creation operators  $a_{\mathbf{n}}^{\dagger}$  and  $c_{\mathbf{n}}^{\dagger}$  for an electron at the  $e_g$  level and a hole at the  $t_{2g}$  level, respectively, at site  $\mathbf{n}$  according to the following rules (choosing  $\text{Co}_{LS}^{3+}$  as the vacuum state)

$$\begin{aligned} |0\rangle &= |\text{Co}_{LS}^{3+}\rangle, & E^{(vac)} &= E_0, \\ a_{\mathbf{n}}^{\dagger}|0\rangle &= |\text{Co}^{2+}\rangle, & E^{(2+)} &= U', \\ c_{\mathbf{n}}^{\dagger}|0\rangle &= |\text{Co}_{LS}^{4+}\rangle, & E^{(h)} &= E_1. \end{aligned} \quad (1)$$

In terms of these operators, the intermediate-spin state of  $\text{Co}^{3+}$  ions can be constructed in the following way:

$$|\text{Co}_{IS}^{3+}\rangle = c_{\mathbf{n}}^{\dagger} a_{\mathbf{n}}^{\dagger} |0\rangle, \quad E_{IS}^{(3+)} = E_0 + \Delta - J_H = E_2. \quad (2)$$

Summing up all possible low-energy configurations, we can write the following single-site Hamiltonian

$$\begin{aligned} H_{\mathbf{n}} &= E_0 + (E_1 - E_0)n_{\mathbf{n}}^h + (U' - E_0)n_{\mathbf{n}}^e \\ &+ [(E_2 - E_0) - (E_1 - E_0) - (U' - E_0)]n_{\mathbf{n}}^h n_{\mathbf{n}}^e, \end{aligned} \quad (3)$$

where  $n_{\mathbf{n}}^e = a_{\mathbf{n}}^{\dagger} a_{\mathbf{n}}$  and  $n_{\mathbf{n}}^h = c_{\mathbf{n}}^{\dagger} c_{\mathbf{n}}$  are the operators describing the numbers of electrons at  $e_g$  levels and holes at  $t_{2g}$  levels, respectively. Writing Eq. (3) in a more compact form, we have

$$H_{\mathbf{n}} = [E_0 + (E_1 - E_0)(n_{\mathbf{n}}^h - n_{\mathbf{n}}^e)] + (\Delta - J_H)n_{\mathbf{n}}^e + U n_{\mathbf{n}}^e (1 - n_{\mathbf{n}}^h), \quad (4)$$

where  $U = U' + E_1 - \Delta + J_H - 2E_0$ . Taking the sum over all lattice sites and introducing the intersite hopping terms, we get

$$\begin{aligned} H &= \sum_{\mathbf{n}} [E_0 + (E_1 - E_0 - \mu)(n_{\mathbf{n}}^h - n_{\mathbf{n}}^e)] + \Delta_1 \sum_{\mathbf{n}} n_{\mathbf{n}}^e \\ &+ U \sum_{\mathbf{n}} n_{\mathbf{n}}^e (1 - n_{\mathbf{n}}^h) - t \sum_{\langle \mathbf{nm} \rangle} (a_{\mathbf{n}}^{\dagger} a_{\mathbf{m}} + \text{h.c.}), \end{aligned} \quad (5)$$

where  $\Delta_1 = \Delta - J_H$ .

In Hamiltonian (5), we took into account only the most significant hopping integral  $t$  describing the transitions of electrons from the occupied  $e_g$  level of IS  $\text{Co}^{3+}$  to the empty  $e_g$  level of LS  $\text{Co}^{4+}$ . Moreover, we assume that an electron can move only without changing the  $z$  projection of its spin, and, because of the Hund's rule coupling, the spin of an itinerant ( $e_g$ ) electron and the total spin of core ( $t_{2g}$ ) electrons are parallel to each other. Hence, we can assume a ferromagnetic ground state and omit a spin index of electron operators. The summation in the last term in Eq. (5) is performed over nearest-neighbor sites. We assume here that Co ions form a simple-cubic lattice. We also neglect the possible complications related to the orbital degeneracy of the  $e_g$  level occupied by a single electron; these are not crucial for the present problem.

Such simplified Hamiltonian (5) is quite similar to that of the Falicov-Kimball model.<sup>32</sup> In the model [Eq. (5)] we have, in fact, an interplay between the electron localization in the LS state and the itinerancy in the IS state. This kind of interplay was analyzed in detail both analytically<sup>29</sup> and numerically,<sup>33</sup> and a tendency for a nanoscale phase separation was demonstrated. The local (atomic scale) charge and spin inhomogeneities, related to electronic phase separation, were also found recently in exact calculations for small clusters.<sup>34</sup> Here, following the technique suggested in Refs. 29 and 30, we address the specific features of the systems with the spin-state transitions.

The average numbers of  $e_g$  electrons and  $t_{2g}$  holes per site  $\langle n_{\mathbf{n}}^e \rangle = n^e$  and  $\langle n_{\mathbf{n}}^h \rangle = n^h$  obey the evident relationship  $n^h - n^e = x$  (by electrons we mean here not the real extra electrons, which would create the state  $\text{Co}^{2+}$ , but the electrons in the initially empty  $e_g$  levels, promoted there by the LS-IS transition, i.e., we still are dealing with the "mixture" of  $\text{Co}^{3+}$  and  $\text{Co}^{4+}$  in the hole-doped system).

Then, the energy per site can be written as

$$E^{(1)} = E_0(1 - x) + E_1 x + \langle H_1 \rangle / N, \quad (6)$$

where

$$H_1 = \Delta_1 \sum_{\mathbf{n}} n_{\mathbf{n}}^e + U \sum_{\mathbf{n}} n_{\mathbf{n}}^e (1 - n_{\mathbf{n}}^h) - t \sum_{\langle \mathbf{nm} \rangle} (a_{\mathbf{n}}^{\dagger} a_{\mathbf{m}} + \text{h.c.}). \quad (7)$$

Let us consider a homogeneous state corresponding to a certain density  $n^e$  of electrons promoted to the IS  $\text{Co}^{3+}$  state. We calculate the energy spectrum using the Hubbard I decoupling<sup>35</sup> in the equation of motion for the one-electron Green's function  $G^e(\mathbf{n}, n_0; t - t_0) = -i \langle T a_{\mathbf{n}}(t) a_{\mathbf{n}_0}^{\dagger}(t_0) \rangle$  for these promoted  $e_g$  electrons [analogous to the band ( $b$ ) electrons in Refs. 29 and 33]. In the frequency-momentum representation

$$G^e(\mathbf{k}, \omega) = \frac{\omega + \mu - \Delta_1 - Un^h}{[\omega + \mu - \Delta_1 - E_1(\mathbf{k})][\omega + \mu - \Delta_1 - E_2(\mathbf{k})]}, \quad (8)$$

where

$$E_{1,2}(\mathbf{k}) = \frac{U + \varepsilon(\mathbf{k})}{2} \mp \sqrt{\left(\frac{U - \varepsilon(\mathbf{k})}{2}\right)^2 + U\varepsilon(\mathbf{k})(1 - n^h)} \quad (9)$$

and  $\varepsilon(\mathbf{k})$  is the energy spectrum at  $U=0$ . We choose  $\varepsilon(\mathbf{k})$  in the simplest tight-binding form, ignoring possible orbital effects and not taking into account the specific features of the hopping integrals of  $e_g$  electrons, [ $\varepsilon(\mathbf{k}) = -2t(\cos k_x + \cos k_y + \cos k_z)$  for simple cubic lattice]. Below, we consider the case of large on-site Coulomb repulsion energy,  $U/t \gg 1$ . In this case, the Hubbard I approximation is an adequate appropriate method allowing one to find out the main features of the electron-band structure, being in good agreement both with experiment and with numerical results.<sup>36</sup>

Using Eq. (8) for the Green's function, we calculate the densities  $n^e$  and  $n^h$  of  $e_g$  electrons and holes. We do all calculations in the limit  $U \rightarrow \infty$ . Based on these results, we can determine the dependence of the total energy on the doping level  $x$ . These calculations are similar to those performed in Ref. 29. The  $b$  electrons in Ref. 29 correspond to our  $e_g$  electrons at IS  $\text{Co}^{3+}$  ions, whereas the number of localized  $l$  electrons in Ref. 29,  $n_l$ , corresponds to the number of LS  $\text{Co}^{3+}$  ions,  $1 - n^h$ . Using this similarity, we could make a direct mapping between the two systems. However, in the systems with spin-state transitions there exists another homogeneous state in addition to that considered in Ref. 29. Namely, it corresponds to all  $\text{Co}^{3+}$  ions in an intermediate-spin state ( $n^h = 1$ ,  $n^e = 1 - x$ ). Formally, in terms of a conduction band and localized level, this state can be treated as a combination of empty localized level lying below the Fermi level of the partially filled conduction band, which is in general not possible. In our case, the state corresponding to the localized level disappears in the absence of LS  $\text{Co}^{3+}$  ions.

Let us denote the state similar to that in Ref. 29 (that is the state with coexisting LS and IS  $\text{Co}^{3+}$  ions) as a type 1 state and the state without LS  $\text{Co}^{3+}$  as type 2 state. The energies of these two states as functions of doping  $x$  are shown in Fig. 1 at  $\Delta_1/zt = 0.2$  ( $z=6$  is the number of nearest neighbors). The type 2 state becomes favorable at  $x > x_2$ . Note that at  $x > x_3$  both states, 1 and 2, are equivalent. We can see that at  $x < x_1$ , there are no electrons promoted to the  $e_g$  level ( $n^e = 0$ ), see Fig. 2.

At  $x > x_1$  the number of  $e_g$  electrons gradually grows. In the absence of type 2 state, this growth would continue up to  $x = x_3$  when all  $\text{Co}^{3+}$  ions would turn to the intermediate-spin state. At  $x = x_2$ , however, the type 2 state becomes favorable in energy, and the jumplike transition to this state occurs. The ground-state energy  $E$  for the homogeneous system as function of  $x$  is shown in Fig. 1 by the solid curve. At the same time, it is clear from this figure that in the doping range  $0 < x \leq x_3$  the inhomogeneous state, being a mixture of states with  $n^h = 1$  and  $n^e = 0$ , is more favorable. The energy of this

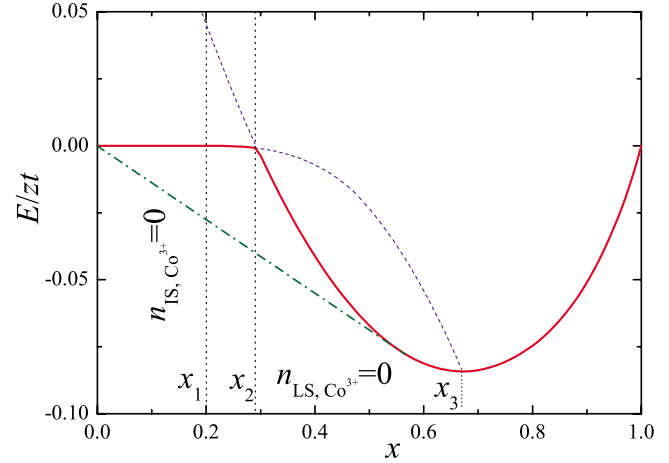


FIG. 1. (Color online) The energies of type 1 and 2 states as function of doping  $x$ . The solid curve (red) corresponds to the more favorable in energy homogeneous state, whereas the states with higher energies are shown by dashed lines (blue), see the text. The dot-dashed line (green) corresponds to the energy of an inhomogeneous state, obtained by Maxwell construction.  $\Delta_1/zt = 0.2$ .

mixed state is shown in Fig. 1 by the dot-dashed line.

The densities of  $\text{Co}^{3+}$  ions in intermediate-spin ( $n^e$ ) and low-spin ( $1 - n^h$ ) states as a function of  $x$  are shown in Fig. 2 by dot-dashed and solid curves, respectively. We see a jumplike increase in  $n^e$  at  $x_2$ , when the homogeneous type 2 state would become favorable. The behavior of  $n^e$  and  $1 - n^h$  at  $x > x_2$  in the absence of type 2 state are shown by thin dashed lines.

At a small band filling,  $n^e \ll 1$ , we can write an approximate explicit expression for the total energy  $E$  assuming that the Fermi surface is spherical

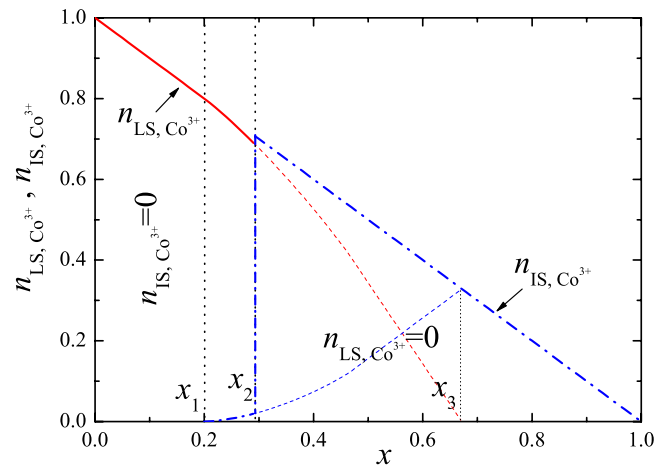


FIG. 2. (Color online) The densities of  $\text{Co}^{3+}$  ions in intermediate- ( $n^e = n_{\text{IS}, \text{Co}^{3+}}$ ) and low-spin ( $1 - n^h = n_{\text{LS}, \text{Co}^{3+}}$ ) homogeneous states as a function of  $x$  at  $\Delta_1/zt = 0.2$ . At  $x_1 < x < x_2$ , both spin states of  $\text{Co}^{3+}$  coexist, whereas at  $x = x_2$ , there occurs a jumplike transition to the purely IS state of  $\text{Co}^{3+}$  ions. Dashed lines illustrate the possible behavior of  $n_{\text{IS}, \text{Co}^{3+}}$  and  $n_{\text{LS}, \text{Co}^{3+}}$  for the type 1 state similar to that described in Ref. 29.

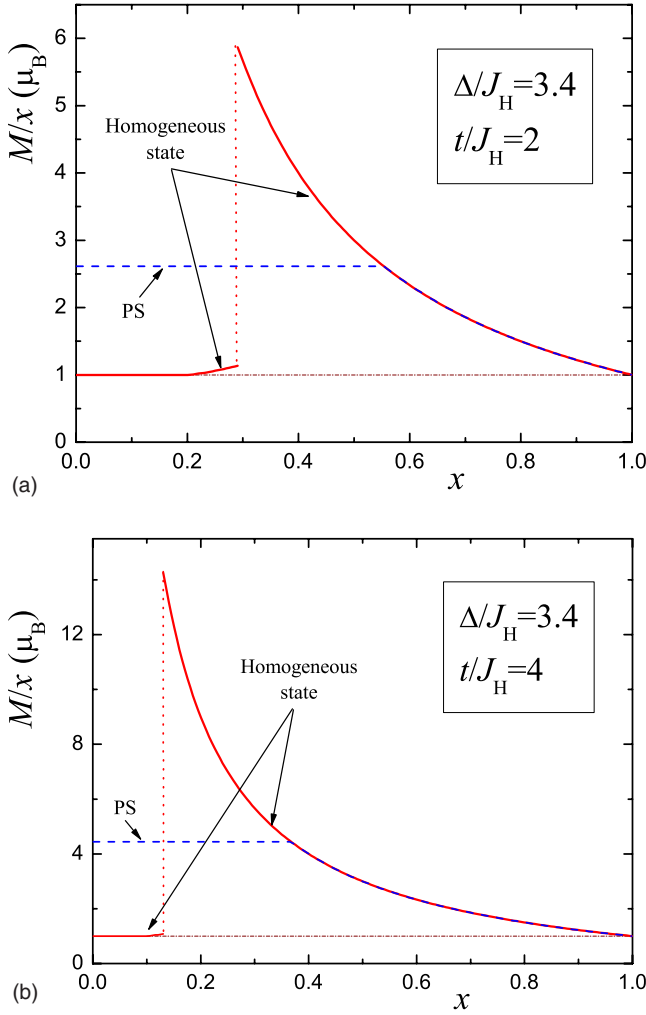


FIG. 3. (Color online) Magnetic moment per one  $\text{Co}^{4+}$  ion versus doping  $x$  at different values of the hopping integral  $t$ : (a)  $t/J_H=2$  and (b)  $t/J_H=4$ . Solid and dot curves (red) correspond to the homogeneous states. The behavior  $M$  in the phase-separated state is shown by the dashed line (blue).

$$E \approx \Delta_1 n^e - tz n^e n^h + \frac{3t}{5} (36\pi^4 n^h)^{1/3} (n^e)^{5/3}. \quad (10)$$

The density  $n^e$  of itinerant electrons is determined by minimization of Eq. (10) with respect to  $n^e$  taking into account that  $n^h = x + n^e$ . It can be easily shown that the solution for the energy minimum corresponding to  $n^e \neq 0$  can exist only if  $\Delta_1 < tzx$ . This means that at  $\Delta_1/tz > 1$  the LS  $\text{Co}^{3+}$  ions cannot be promoted to the IS state at any doping  $x$ .

The dependence of  $n^e$  on doping  $x$  determines the behavior of magnetic moment of Co ions. Indeed, the LS  $\text{Co}^{3+}$  ions correspond to zero magnetic moment,  $S=0$ , while the doping leads to the creation of LS  $\text{Co}^{4+}$  ions ( $S=1/2$ ) and also provides the promotion of some  $\text{Co}^{3+}$  ions to the IS state ( $S=1$ ). So, the data presented in Fig. 2 could be redrawn in terms of magnetic moment per dopant (or, in other words, per  $\text{Co}^{4+}$ ), see Fig. 3. For the homogeneous state, we see in Fig. 3 that the jumplike transition in the density  $n^e$  of itinerant electrons manifests itself in a jump of magnetic moment.

At the same time, in the phase-separated state, the magnetic moment per  $\text{Co}^{4+}$  ion remains constant since both the content of the phase with IS  $\text{Co}^{3+}$  and the number of  $\text{Co}^{4+}$  ions are proportional to  $x$ . The value of magnetic moment per  $\text{Co}^{4+}$  is determined by the value of  $x$ , where the dot-dashed line in Fig. 1 touches the curve corresponding to the energy of the homogeneous state. Both the height of the jump for the magnetic moment in the homogeneous state and the value of magnetic moment per  $\text{Co}^{4+}$  in the phase-separated state depend drastically on the parameters of the model, especially on the hopping integral  $t$ . In Fig. 3, we see that the increase in  $t$  by a factor of two leads to a pronounced growth of both mentioned values. Note here that the values of magnetic moment under discussion correspond to macroscopic phase separation, that is, the characteristic sizes of inhomogeneities are much larger than the lattice constant. This is indeed so at relatively large  $x$  (exceeding the percolation threshold for the phase with itinerant charge carriers). At small  $x$ , it is natural to expect that the phase-separated system will consist of small droplets (spin-state polarons) containing only one  $\text{Co}^{4+}$  ion surrounded by IS  $\text{Co}^{3+}$ . In the latter case, the magnetic moment per  $\text{Co}^{4+}$  should be larger than that corresponding to the macroscopic phase separation. This could be the case for spin polarons in low-doped  $\text{La}_{1-x}\text{Sr}_x\text{CoO}_3$  observed in Ref. 26, where the polarons with the magnetic moment equal to  $13\mu_B$  seem to be the most probable. From our considerations, one should expect that the value of magnetic moment per  $\text{Co}^{4+}$  should become smaller with the increase in doping  $x$ . The exact calculations for small clusters also demonstrate that in a suitable range of parameters, the saturated magnetic moment can exist at relatively low temperatures also in atomic-size doped clusters of various geometries.<sup>37</sup>

Thus, we demonstrated that the spin-state transitions in hole-doped cobaltites can be described based on the model involving the coexistence and competition of localized and itinerant electron states. In contrast to the similar model for manganites,<sup>29,30</sup> this model allows the possibility of a jump-like transition to the purely itinerant state corresponding in the case of cobaltites to the LS  $\rightarrow$  IS transition for all  $\text{Co}^{3+}$  ions. However, at lower doping, before reaching this homogeneous metallic state with all Co ions magnetic, the phase-separated state comes into play, in which only a part of  $\text{Co}^{3+}$  ions is promoted to the IS state, doped holes being located in these regions. Experimental data on  $\text{La}_{1-x}\text{Sr}_x\text{CoO}_3$  (Refs. 21–26) seem to be in agreement with this picture.

#### IV. ELECTRON DELOCALIZATION AND SPIN-STATE TRANSITIONS: THE CASE OF HS-HS GROUND STATE FOR ISOLATED IONS

Let us now discuss the situation at  $\Delta < 2J_H$ , when in the absence of electron hopping, it is favorable for both  $\text{Co}^{3+}$  and  $\text{Co}^{4+}$  to be in the HS state. The transfer of charge carriers between Co ions becomes possible only if we promote a hole to the  $e_g$  level of  $\text{Co}^{4+}$  and transform such an ion from HS to IS state.

So, in this case, instead of electron hopping from IS  $\text{Co}^{3+}$  to LS  $\text{Co}^{4+}$ , we have the electron hopping from the HS  $\text{Co}^{3+}$  to IS  $\text{Co}^{4+}$ , or the hole hopping from IS  $\text{Co}^{4+}$  to HS  $\text{Co}^{3+}$  (this representation is more convenient here). Using this analogy, we can choose the HS state of  $\text{Co}^{4+}$  as a new vacuum state and write relationships similar to Eqs. (1) and (2) as

$$|0\rangle = |\text{Co}_{\text{HS}}^{4+}\rangle, \quad \tilde{E}^{(\text{vac})} = E_1 + 2\Delta - 6J_H = \tilde{E}_0,$$

$$\tilde{c}_{\mathbf{n}}^\dagger|0\rangle = |\text{Co}^{5+}\rangle, \quad E^{(5+)} = \tilde{U}',$$

$$\tilde{a}_{\mathbf{n}}^\dagger|0\rangle = |\text{Co}_{\text{HS}}^{3+}\rangle, \quad E^{(e)} = E_0 + 2\Delta - 4J_H = \tilde{E}_1,$$

$$\tilde{a}_{\mathbf{n}}^\dagger\tilde{c}_{\mathbf{n}}^\dagger|0\rangle = |\text{Co}_{\text{IS}}^{4+}\rangle, \quad E_{\text{IS}}^{(4+)} = E_0 + \Delta - 2J_H = \tilde{E}_2. \quad (11)$$

The corresponding single-site Hamiltonian can be found by the following substitution in Eqs. (3) and (4):  $E_0, E_1, E_2, U \rightarrow \tilde{E}_0, \tilde{E}_1, \tilde{E}_2, \tilde{U}$ , and also  $n_{\mathbf{n}}^e \rightarrow \tilde{n}_{\mathbf{n}}^e$  and  $n_{\mathbf{n}}^h \rightarrow \tilde{n}_{\mathbf{n}}^h$ .

As a result, we can rewrite the Hamiltonian (5) in the following form:

$$H = \sum_{\mathbf{n}} [\tilde{E}_0 + (\tilde{E}_0 - \tilde{E}_1 - \mu)(\tilde{n}_{\mathbf{n}}^e - \tilde{n}_{\mathbf{n}}^h)] + \Delta_2 \sum_{\mathbf{n}} \tilde{n}_{\mathbf{n}}^h + \tilde{U} \sum_{\mathbf{n}} \tilde{n}_{\mathbf{n}}^h (1 - \tilde{n}_{\mathbf{n}}^e) - t \sum_{\langle \mathbf{nm} \rangle} (\tilde{c}_{\mathbf{n}}^\dagger \tilde{c}_{\mathbf{m}} + \text{h.c.}). \quad (12)$$

Here,  $\Delta_2 = 4J_H - \Delta$  is the energy difference between the IS and HS  $\text{Co}^{4+}$  ions,  $\tilde{c}_{\mathbf{n}}^\dagger$  and  $\tilde{c}_{\mathbf{n}}$  are creation and annihilation operators for a hole promoted to the  $e_g$  level of IS  $\text{Co}^{4+}$  at site  $\mathbf{n}$  ( $\tilde{n}_{\mathbf{n}}^h = \tilde{c}_{\mathbf{n}}^\dagger \tilde{c}_{\mathbf{n}}$ ), and  $\tilde{n}_{\mathbf{n}}^e = \tilde{a}_{\mathbf{n}}^\dagger \tilde{a}_{\mathbf{n}}$  is the operator describing the number (0 or 1) of additional localized  $t_{2g}$  electrons at site  $\mathbf{n}$  ( $\tilde{a}_{\mathbf{n}}^\dagger$  and  $\tilde{a}_{\mathbf{n}}$  are creation and annihilation operators for such electrons). The average numbers of electrons and holes per site obey now the relationship  $\tilde{n}^e - \tilde{n}^h = 1 - x$ .

In this case, the energy per site [Eq. (6)] can be rewritten as

$$E^{(2)} = E_0(1 - x) + E_1x + \langle H_2 \rangle / N, \quad (13)$$

where

$$H_2 = \sum_{\mathbf{n}} [2\Delta - 6J_H + 2J_H(\tilde{n}_{\mathbf{n}}^e - \tilde{n}_{\mathbf{n}}^h)] + \Delta_2 \sum_{\mathbf{n}} \tilde{n}_{\mathbf{n}}^h + \tilde{U} \sum_{\mathbf{n}} \tilde{n}_{\mathbf{n}}^h (1 - \tilde{n}_{\mathbf{n}}^e) - t \sum_{\langle \mathbf{nm} \rangle} (\tilde{a}_{\mathbf{n}}^\dagger \tilde{a}_{\mathbf{m}} + \text{h.c.}). \quad (14)$$

Note that the difference  $E^{(2)} - E^{(1)}$  does not depend on the choice of  $E_0$  and  $E_1$ , this fact will be helpful in constructing the phase diagrams in the next section.

Thus, the behavior of the system energy and charge-carrier densities,  $\tilde{n}^e$  and  $\tilde{n}^h$ , are similar to those shown in Figs. 1 and 2. In these figures, we should replace  $n^h \rightarrow \tilde{n}^e$ ,  $n^e \rightarrow \tilde{n}^h$ , and  $x \rightarrow 1 - x$ , that is, the densities of  $\text{Co}^{3+}$  ions in IS ( $n^e = n_{\text{IS}, \text{Co}^{3+}}$ ) and LS ( $1 - n^h = n_{\text{LS}, \text{Co}^{3+}}$ ) states become here the

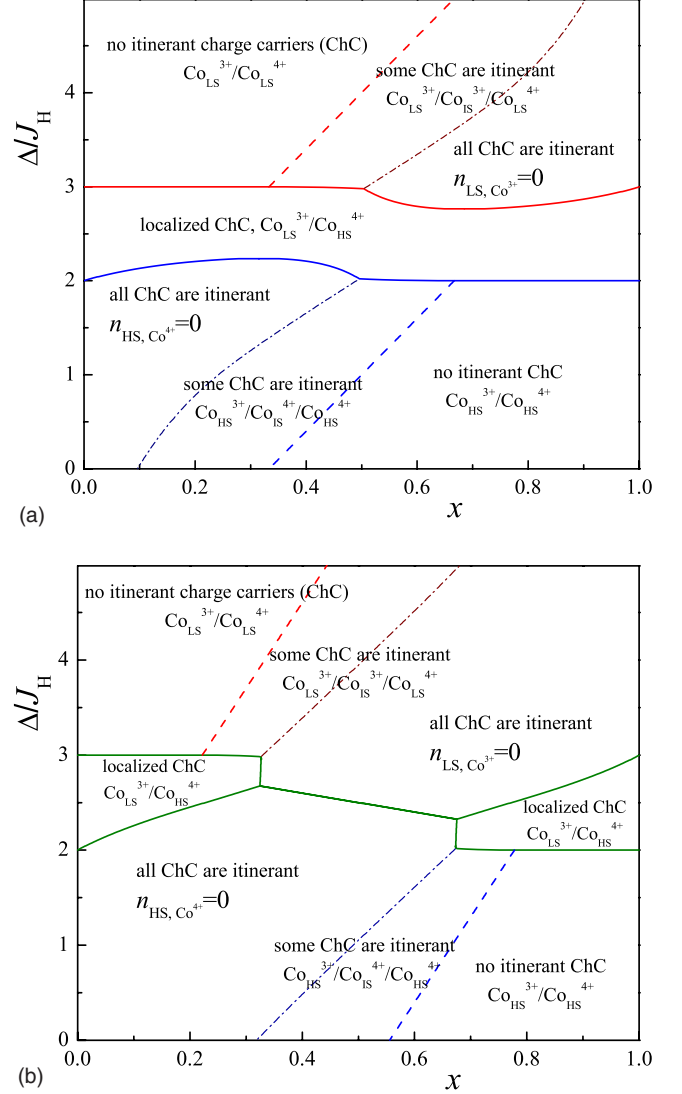


FIG. 4. (Color online) Possible homogeneous states of the system under study at different values of the hopping integral  $t$ : (a)  $t/J_H=1$  and (b)  $t/J_H=1.5$ . The boundaries between analogous phases in the upper and lower parts of the phase diagram are shown by the same lines (solid, dashed, or dot-dashed).

densities of  $\text{Co}^{4+}$  ions in IS ( $\tilde{n}^h = n_{\text{IS}, \text{Co}^{4+}}$ ) and HS ( $1 - \tilde{n}^e = n_{\text{HS}, \text{Co}^{4+}}$ ) states. Note also that such an exact similarity between the LS-LS and HS-HS cases appears since we, in fact, deal with the spinless fermions (the spins of charge carriers are parallel). So, we have an electron-hole symmetry between an empty  $e_g$  level at LS  $\text{Co}^{3+}$  and a completely occupied such level at HS  $\text{Co}^{3+}$ .

## V. PHASE DIAGRAMS

Based on the results of the previous sections, we can summarize the behavior of the system as function of doping at different values of the  $\Delta/J_H$  ratio and to draw the corresponding phase diagram. The form of this phase diagram depends drastically on the characteristic values of the hopping integral  $t$ . The general features of the evolution of the

system with doping from one homogeneous state to another are illustrated in Fig. 4. At rather small  $t$  ( $t/J_H \lesssim 1$ ), see Fig. 4(a), we have clearly defined regions of the phase diagram corresponding to  $\Delta > 3J_H$  and  $\Delta < 2J_H$  (corresponding to the situations discussed in Secs. III and IV, respectively). In each of these regions, the variation in doping leads to the transitions between the phase with only localized carriers to the phase when some charge carriers are delocalized and, eventually, to the phase when all charge carriers are itinerant. These two regions, with  $\Delta > 3J_H$  and  $\Delta < 2J_H$ , are separated by the phase with  $\text{Co}^{3+}$  in LS ( $S=0$ ) and  $\text{Co}^{4+}$  in HS ( $S=5/2$ ) states, with the charge carriers localized because of the spin blockade.<sup>20</sup> At larger  $t$  ( $t/J_H \gtrsim 1$ ), see Fig. 4(b), the latter intermediate region collapses at a certain doping range and a direct spin-state transition between the phases with fully delocalized charge carriers becomes possible.

The form of the phase diagram changes if we take into account the possibility of phase separation. The corresponding phase diagrams drawn at different values of  $t/J_H$  are shown in Fig. 5. We see that instead of phases with partially and fully delocalized charge carriers, there appear two broad regions of phase separation where the domains of fully localized and fully delocalized charge carriers are intermixed. Again, at rather small  $t$  ( $t/J_H \lesssim 1$ ), we have an intermediate region where the charge carriers are localized at any doping level (with  $\text{Co}^{3+}$  and  $\text{Co}^{4+}$  in LS and HS states, respectively). This intermediate region becomes narrower with the growth of  $t$ . At  $t/J_H \cong 1.24$  it becomes divided into two separate areas [Fig. 5(b)], and there appears a boundary between PS I and PS II phases (see caption to Fig. 5). With further growth of the hopping integral the two regions of fully localized phase continue to diminish and disappear at  $t/J_H \cong 1.44$ .

Let us note here that the phase diagram along the  $\Delta$  axis could be reproduced varying the average ionic radius of the rare-earth ions in cobaltites (see, e.g., Refs. 38 and 39).

Note also that all phase diagrams were obtained in the limit  $U \rightarrow \infty$ . In this approximation, we do not take into account, in particular, the spin-spin, spin-orbital, and orbital-orbital correlations between neighboring sites, which appear in two-band Hubbard Hamiltonians (5) and (12). This can lead to different types of magnetic and orbital ordering in the phases obtained in our paper. However, due to the relatively small contribution of these correlations (they are of the order of  $t^2/U \ll t, \Delta, J_H$ ), this does not change significantly the obtained phase diagrams describing the phases with Co ions in different spin states (the energy differences being  $\sim \Delta, J_H$ ). In such a mean-field approach the fluctuation effects are strongly suppressed but the main features of the phase diagrams remain qualitatively the same.

In addition, we neglect here the long-range Coulomb interaction related to the charge disproportionation in the phase-separated state, which can reduce the doping range of the phase separation and limit the size of the inhomogeneities thus created. Note that taking into account both the long-range Coulomb repulsion and the surface energy terms could, in principle, allow us to analyze the structure of phase-separated states (e.g., droplets, alternating layers, or rods) and to estimate the characteristic sizes of inhomogeneities and the percolation value of the doping level  $x$ , corresponding to the insulator-metal transition.<sup>29,40,41</sup>

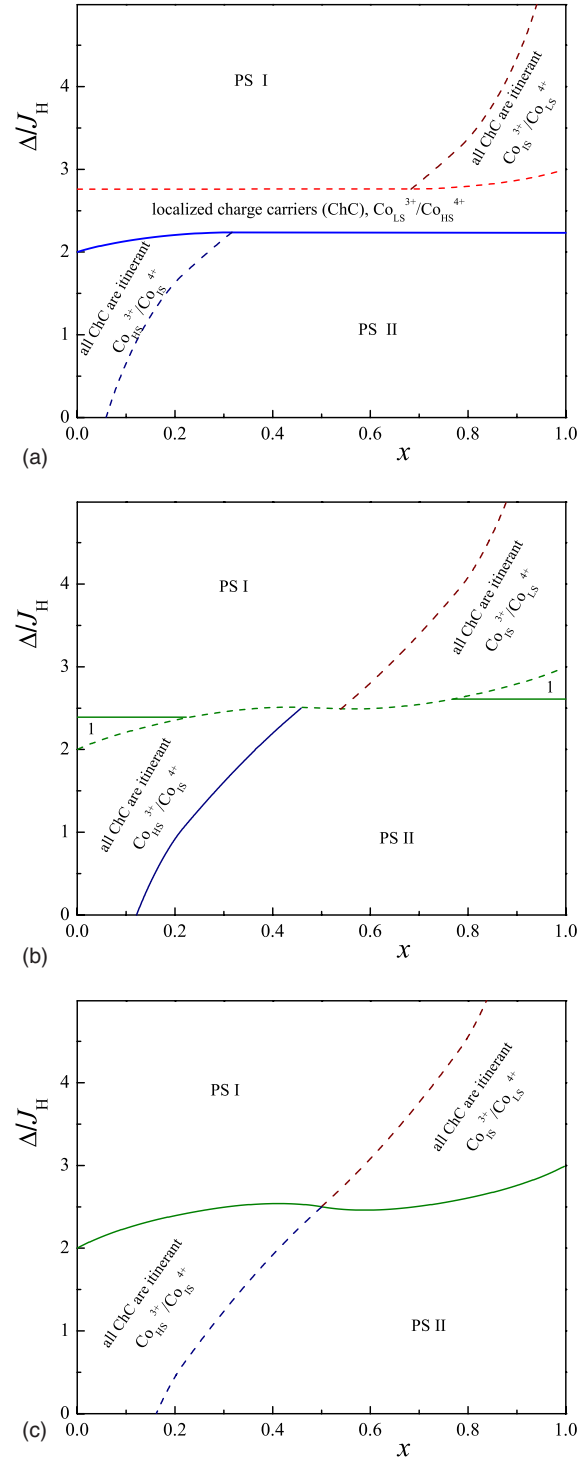


FIG. 5. (Color online) Phase diagrams including the phase-separated states of the system under study at different values of hopping integral  $t$ : (a)  $t/J_H=1$ ; (b)  $t/J_H=1.3$ ; and (c)  $t/J_H=1.5$ . PS I is the phase-separated state including the regions without itinerant charge carriers, corresponding to LS  $\text{Co}^{3+}$ , and those with completely delocalized charge carriers promoted to IS  $\text{Co}^{3+}$ . PS II is the similar phase-separated state where the regions with and without itinerant charge carriers correspond to IS  $\text{Co}^{4+}$  and HS  $\text{Co}^{4+}$ , respectively. Regions 1 at panel (b) correspond to the charge carriers located at HS  $\text{Co}^{4+}$  and LS  $\text{Co}^{3+}$ , where the charge transfer between Co sites is suppressed due to the spin blockade.

## VI. CONCLUSIONS

Based on a simple model of a strongly correlated electron system with spin-state transitions, we demonstrated the tendency to a phase separation for doped perovskite cobaltites in a wide range of doping levels. The phase diagram including large regions of inhomogeneous phase-separated states is constructed. The form of the phase diagram turns out to be strongly dependent on the ratio of the electron hopping integral  $t$  and the Hund's rule coupling constant  $J_H$ .

We did not analyze in detail the possible structure of the phase-separated state. However, for the corresponding model describing doped manganites, the calculations<sup>29</sup> and numerical simulations<sup>33</sup> taking into account the surface and long-range Coulomb contributions to the total energy lead to the

characteristic size of nanoscale inhomogeneities of the order of several lattice constants. One can expect that the inhomogeneities caused by the phase separation in cobaltites with spin-state transitions would be of a similar scale.

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